

Applicant or Patentee:
Serial or Patent Number:
Filed or Issued:
For:

Attorney's Docket No. _____

Verified Statement (Declaration) Claiming SMALL ENTITY
Status (37 CFR 1.9(f) and 1.27 (d)) - Small Business Concern

FWP/FP/FORM/PATENT/1148

I hereby declare that I am

- ☐ the owner of the small business concern identified below
☒ an official of the small business concern empowered to act on behalf of the concern identified below

NAME OF CONCERN Institut für Pflanzengenetik und Kulturpflanzenforschung
ADDRESS OF CONCERN Corrensstrasse 3, D-06466 Gatersleben, Germany

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled Microsatellite Markers for Plants of the Species Triticum Aestivum and Tribe Triticeae and the Use of Said Markers by inventor(s) Marion Röder; Jens Plaschke; and Martin Ganal described in

- ☐ The specification filed herewith
☐ application serial no. _____, filed _____
☐ patent no. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME:
ADDRESS:

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

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I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Prof. Dr. Ulrich Wobus Bernd Eise
TITLE OF PERSON OTHER THAN OWNER: Acting Director Admin. Director
ADDRESS OF PERSON SIGNING: Institute of Plant Genetics and Crop
Plant Research, Corrensstrasse 3, D-06466 Gatersleben, Germany

SIGNATURE

DATE

8. Jan. 1998

LONDA AND TRAUB LLP
Wall Street Tower
20 Exchange Place - 37th Floor
New York, New York 10005
United States of America

If each inventor understands English, the Declaration and Power of The Attorney below is suitable for use when filing regular patent application and also when entering the national stage, in the case of an International application designating the USA under the PCT.

CAVEAT: Please read accompanying INFORMATION SHEET before signing

COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

Attorney Docket No.
2936.10400

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and sole inventor (if only one name is listed below at 201) or an original, first and joint inventor (if plural names are listed below at 201-205) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Microsatellite Markers for Plants of the Species Triticum Aestivum and Tribe Triticeae and the Use of Said Markers

the specification of which (check one)

☐

is attached hereto

☒

was filed on 27 June 1996

under Serial Number PCT/DE96/01185

and was amended on (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56

I list below any prior foreign application(s) for patent or inventor's certificate in respect of which foreign priority benefits are claimed under 35 USC 119; and any prior foreign application(s) for patent or inventor's certificate in respect of which such foreign priority rights are not claimed and which has a filing date before that of any application in respect of which such foreign priority benefits are claimed:

Application Number	Country	Filing Date (day, month, year)	Priority Claimed under 35 USC 119
195 25 284.5	Germany	28.6.95	YES: <u>X</u> NO: <u> </u>
			YES: <u> </u> NO: <u> </u>

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

Application No.

Filing Date

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Bruce S. Londa (33,531) Brian L. Wamsley (33,045)
Alex L. Yip (34,759)

201	Family Name <u>RODER</u>	First Given Name <u>Marlon</u>	Second Given Name
	City of Residence <u>Blaich</u>	State or Foreign Country <u>Germany</u> <u>DEX</u>	Country of Citizenship <u>Germany</u>

08983605-050198

202 ²⁻⁰⁰	Family Name <u>PLASCHKE</u>	First Given Name <u>Jens</u>	Second Given Name
	City of Residence <u>Meissen</u>	State or Foreign Country <u>Germany</u> ^{DEX}	Country of Citizenship <u>Germany</u>
	Post Office Address <u>A.-Mücke-Ring 12B</u>	City <u>D-01662 Meissen</u>	State & Zip/Country <u>Germany</u>
203 ³⁻⁰⁰	Family Name <u>GANAL</u>	First Given Name <u>Martin</u>	Second Given Name
	City of Residence <u>Rieder</u>	State or Foreign Country <u>Germany</u> ^{DEX}	Country of Citizenship <u>Germany</u>
	Post Office Address <u>Reuthestrasse 9</u>	City <u>D-06507 Rieder</u>	State & Zip/Country <u>Germany</u>
204	Family Name	First Given Name	Second Given Name
	City of Residence	State or Foreign Country	Country of Citizenship
	Post Office Address	City	State & Zip/Country
205	Family Name	First Given Name	Second Given Name
	City of Residence	State or Foreign Country	Country of Citizenship
	Post Office Address	City	State & ZIP/ Country

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Signature of Inventor 201	<u>Harion Riedel</u>	Date	<u>Jan. 7th, 1998</u>
Signature of Inventor 202	<u>Jens Planchke</u>	Date	<u>Jan. 12, 1998</u>
Signature of Inventor 203	<u>Martin Ganal</u>	Date	<u>Jan. 7th, 1998</u>
Signature of Inventor 204		Date	
Signature of Inventor 205		Date	

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P-WP/81/POR/AB/PAT/ENT/1.48

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NAME OF PERSON SIGNING: Prof. Dr. Ulrich Wobus Bernd Eise
TITLE OF PERSON OTHER THAN OWNER: Acting Director Admin. Director

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